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M.L.

PATENT
Application No.: 09/800,956



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Jeremy ROSENBERG *et al.*

Serial No.: 09/800,956

Filed: March 8, 2001

Group Art Unit: 2154

Examiner: An, Meng

For: PERSONALIZED AUDIO SYSTEM AND METHOD

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Technology Center 2100

PRELIMINARY AMENDMENT UNDER 37 C.F.R § 1.115

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Before examination of the above-referenced patent application, Applicants submit the following preliminary amendment.

Applicants respectfully submit that this preliminary amendment should not unduly interfere with the preparation of a first Office Action in this application. Therefore, Applicants respectfully request that the Commissioner approve this preliminary amendment.

03/28/2002 SSITHIB1 00000109 09800956

01 FC:102
02 FC:103

252.00 OP
54.00 OP

AMENDMENT TRANSMITTAL LETTER

Docket No.
14688-505Serial No.
09/800,956Filing Date
March 8, 2001Examiner
An, MengGroup Art Unit
2154

Applicant(s): JEREMY ROSENBERG ET AL.

Invention: PERSONALIZED AUDIO SYSTEM AND METHOD

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

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CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest # Previously Paid	# Extra Claims Present	Rate	Additional Fee
Total Claims	23	- 20 =	3	x \$18.00	\$54.00
Independent Claims	9	- 6 =	3	x \$84.00	\$252.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$306.00

☒ Large Entity☐ Small Entity☐ No additional fee is required for amendment.☐ Please charge Deposit Account No. 50-0311 in the amount of _____
A duplicate copy of this sheet is enclosed.☒ A check in the amount of \$306.00 to cover the filing fee is enclosed.☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0311 as described below. A duplicate copy of this sheet is enclosed.☒ Credit any overpayment.☒ Charge any additional filing or application processing fees required under 37 C.F.R. 1.16 and 1.17.

Dated: March 27, 2002

Brian S. Rosenbloom, Reg. No. 41,276
MINTZ, LEVIN, COHN, FERRIS, GLOVSKY AND POPEO P.C.
11911 Freedom Drive, Suite 400; Reston, Virginia 20190
(703) 464-4810



PATENT
Docket No.: 14688-505

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:
JEREMY ROSENBERG et al.

SERIAL NO.: 09/800,956

Group Art Unit: 2154

FILED: March 8, 2001

Examiner: An, Meng

FOR: PERSONALIZED AUDIO SYSTEM AND METHOD

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

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Technology Center 2100

Sir:

Transmitted herewith for filing in the present application are the following documents:


1. Preliminary Amendment Under 37 C.F.R. §1.115;
2. Amendment Transmittal Letter (in duplicate); and
3. Check in the amount of \$306.00.

Our check for \$306.00 is enclosed covering any required fees. In the event any variance exists between the amount enclosed and the Patent and Trademark Office charges, please charge or credit the difference to our Deposit Account 50-0311, Reference No. 14688-505. A duplicate copy of this letter is enclosed for that purpose.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned at (703) 464-4810.

Date: March 27, 2002

Respectfully submitted,


Brian S. Rosenbloom
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PATENT & TRADEMARK OFFICE